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• Original Article •

## Retinal neurovascular characteristics for the diagnosis and staging of nondiabetic chronic kidney disease: a diagnostic study

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### HIGHLIGHTS

- Previous studies have indicated that retinal neurovascular deterioration was present in chronic kidney disease (CKD) patients, and such changes could be captured by retinal photographs or optical coherence tomography angiography (OCTA).
- This study expands the knowledge regarding retinal neurovascular changes obtained by OCTA in nondiabetic CKD patients. Our result has proven the diagnostic ability of retinal neurovascular parameters in distinguishing CKD of different stages.
- Our study provided evidence for applying ocular neurovascular data for CKD stratification, screening and long-term management.

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**Abstract:** **Aims:** To identify the characteristic retinal neurovascular changes in patients in different stages of nondiabetic chronic kidney disease (CKD) and to develop a model for the accurate diagnosis of nondiabetic CKD. **Methods:** Peripapillary retinal nerve fiber layer (pRNFL) thickness and average macular ganglion cell-inner plexiform layer (GC-IPL) thickness of nondiabetic CKD patients and healthy controls (HC) were evaluated by spectral-domain optical coherence tomography (OCT). The vessel density (VD) and perfusion density (PD) of the macula were obtained from optical coherence tomography angiography (OCTA). The estimated glomerular filtration rate (eGFR) was obtained to assess the kidney function of CKD patients. Multiple linear regression models were used to adjust for confounding factors in statistical analyses. The diagnostic capabilities of the parameters were evaluated by logistic regression models. **Results:** 131 nondiabetic CKD patients and 62 HC entered the study. eGFR was found significantly associated with parafoveal VD and PD (average PD:  $\beta = 0.0004$ ,  $P_{adjusted} < 0.001$ ) in various sectors. Thinning of pRNFL ( $\beta = -6.725$ ,  $P_{adjusted} < 0.001$ ) and GC-IPL ( $\beta = -4.542$ ,  $P_{adjusted} < 0.001$ ), as well as decreased VD ( $\beta = -2.107$ ,  $P_{adjusted} < 0.001$ ) and PD ( $\beta = -0.057$ ,  $P_{adjusted} = 0.0328$ ) were found in CKD patients. Thinning of pRNFL and deteriorated perifoveal vasculature were found in early CKD, and the parafoveal and foveal VD significantly declined in advanced CKD. Logistic regression models were employed, and selected neurovascular parameters showed an AUC of 0.853 (95% CI: 0.795 to 0.910) in distinguishing CKD patients from HC. **Conclusions:** Distinctive retinal neurovascular characteristics could be observed in nondiabetic CKD patients of different severities. Our results suggest that retinal manifestations could be valuable in the screening, diagnosis, and follow-up evaluation of patients with CKD.

**Keywords:** retinal neurovascular characteristics; chronic kidney disease; optical coherence tomography angiography

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## INTRODUCTION

Chronic kidney disease (CKD) poses significant global healthcare challenges with an increasing incidence.<sup>[1-3]</sup> It was estimated that the worldwide prevalence of CKD has reached 9.1%, and the disease accounts for 4.6% of total mortality worldwide.<sup>[4]</sup> Current evidence suggests that vascular dysfunction, as a prominent pathogenetic factor of CKD, could be a shared contributor to disease progression<sup>[5]</sup> and systemic complications such as cardiovascular diseases,<sup>[6]</sup> metabolic disorders<sup>[7]</sup> and neuropathies.<sup>[6,8-9]</sup> However, the pathological changes of vessels in the kidneys and related target organs could hardly be assessed by non-invasive methods due to their anatomic nature, limiting further

scientific studies and clinical applications regarding vascular mechanisms.

The eye, often referred to as a window to the body, allows direct visualization of neurovascular structures and provides attainable insights into systemic diseases. Several researchers have successfully assessed ophthalmic manifestations for the diagnosis and management of extraocular diseases, including cardiovascular diseases,<sup>[10]</sup> dementia,<sup>[11]</sup> and hepatobiliary diseases.<sup>[12]</sup> Additionally, it is known that the eye and the kidney have similar structural and physiological characteristics,<sup>[13]</sup> particularly the vast microvascular networks in the glomeruli and retina. Deep learning models based on fundus photographs have also been proven successful in detecting CKD patients.<sup>[14]</sup> Provided

that the retinal microvasculature could easily be accessed through non-invasive methods, it is of particular interest to clarify the latent affiliation between ocular manifestations and kidney function.

Several retinal vascular imaging methods have been developed in recent years. Optical coherence tomography angiography (OCTA), developed from optical coherence tomography (OCT), represents a novel, rapid, and non-invasive approach for assessing the microvascular structure of the retina.<sup>[15-16]</sup> OCTA is used to obtain depth-resolved images of blood flow in the retina and choroid and has been proven to be valuable in screening for various diseases.<sup>[17]</sup> In a series of recent studies, the application of OCT and OCTA in CKD evaluation and management has been explored.<sup>[18-21]</sup> These studies discovered that CKD patients have significantly reduced retinal thickness and vessel density, and the severity is often related with the level of kidney dysfunction. Despite remarkable results, most researchers in previous studies mainly focused on diabetic CKD patients, neglecting the potential bias that the impact of diabetes on ocular microvasculature might introduce to the results.<sup>[14,22-24]</sup> The pattern of retinal neurovascular deteriorations in CKD patients without the impact of diabetes remains unresolved, hence applying retinal parameters for the management of CKD at this stage lacks comprehensive evidence, and there is an urgent need for more research to support this approach. It is noteworthy that approximately 70% of all CKD has nondiabetic causes, however these patients receive significantly less screening and medical care than diabetic CKD patients despite being the vast majority.<sup>[25-26]</sup> Therefore, investigating the correlation between CKD and retinal manifestations in nondiabetic cases could bridge existing gaps in current research and enhance clinical strategies.

To illuminate this uncharted area, we conducted an assessment of retinal neurovascular manifestations in patients at various stages of nondiabetic CKD using OCT and OCTA. The results were analyzed to investigate the differences between healthy individuals and patients with different severities and to explore the correlation between kidney function and ocular changes. Furthermore, we developed diagnostic models based on retinal parameters for the classification and staging of CKD, aiming to

investigate the potential of retinal parameters as non-invasive and accessible indicators of nondiabetic CKD.

## MATERIALS AND METHODS

### Participants

Participants were recruited from CKD patients presenting to the Department of Nephrology of the Third Affiliated Hospital, Sun Yat-sen University, Guangzhou, between January and July 2022. CKD diagnosis was made by two experienced nephrologists according to the KDIGO guidelines.<sup>[27]</sup> The estimated glomerular filtration rate (eGFR) was calculated with the serum creatinine level using the CKD-EPI equation.<sup>[28]</sup> CKD was further classified into stage 1, (eGFR  $\geq 90$  mL/min/1.73 m<sup>2</sup>), stage 2 (eGFR between 60–89 mL/min/1.73 m<sup>2</sup>), stage 3 (eGFR between 30–59 mL/min/1.73 m<sup>2</sup>), stage 4 (eGFR between 15–29 mL/min/1.73 m<sup>2</sup>), and stage 5 (eGFR  $< 15$  mL/min/1.73 m<sup>2</sup>). Early CKD was defined as CKD stages 1 to 3, while advanced CKD was defined as CKD stages 4 to 5.<sup>[29]</sup> Demographic data including age, sex, smoking status, previous diagnosis of hypertension and cardiovascular diseases were extracted from the patients' electronic health records.

The inclusion criteria for patients in this study were (1) the presence of CKD and (2)  $\geq 18$  years old. The exclusion criteria were (1) diagnosis of diabetes mellitus; (2) significant ocular media opacity; and (3) presence of other ocular or systemic diseases that are known to affect the retinal structure, such as dementia. The healthy control (HC) group included volunteers from the Zhongshan Ophthalmic Center. The inclusion criteria were as follows: (1) no medical history of systemic or ocular diseases; and (2) normal-appearing fundus.

The study was approved by the Ethics Committee of the Third Affiliated Hospital of Sun Yat-sen University, and Zhongshan Ophthalmic Center, Sun Yat-sen University. It was conducted in accordance with the tenets of the Declaration of Helsinki. Written informed consent was obtained from all participants.

### Ocular examinations and OCTA

All participants were given a comprehensive ophthalmic examination composed of best-corrected visual accuracy assessment, slit-lamp biomicroscopy of

the anterior segment, dilated fundus examination, OCT, and OCTA. OCT and OCTA results were obtained by an ultraclear OCT and AngioPlex device (Cirrus 5000, version 10.0; Carl Zeiss Meditec Inc., Dublin, CA, USA). Cirrus OCTA software (AngioPlex, version 10.0; Carl Zeiss Meditec Inc.) was used to analyze the scans. Scans with signal strength less than 7/10 were excluded to control image quality. All patients were instructed to remain stationary and fix their gaze on the center of the cross target to minimize motion artifacts. Images with vessel doubling or misalignment and poor positioning were excluded from statistical analysis.

Spectral-domain OCT was used to obtain the thickness of different retinal layers. A 200×200 scan protocol of the optic disc cube was used for peripapillary retinal nerve fiber layer (pRNFL) measurement. pRNFL measurements included the average pRNFL thickness within a 3.46 mm diameter around the optic disc and the thickness of four quadrants (superior, temporal, inferior, and nasal). Macular ganglion cell-inner plexiform layer (GC-IPL) thickness measurements were conducted with a macular cube with 512×128 scan mode. The average GC-IPL thickness and the thickness of six sectors (superior, temporal-superior, temporal-inferior, inferior, nasal-inferior, and nasal-superior) were obtained within a 6 mm diameter centered at the fovea.

Optical coherence tomography angiography was acquired with a 6×6 mm scan pattern centered at the macula. Vessel density (VD), perfusion density (PD), and foveal avascular zone (FAZ) of the superficial vascular plexus were measured and analyzed with Cirrus OCTA software (AngioPlex, version 10.0; Carl Zeiss Meditec Inc.). VD is the total length of perfused vasculature per unit area, and PD is the total area per unit area. Both VD and PD were measured in an annular zone excluding the FAZ. The scan zone was divided into nine sections: central, inner superior, inner temporal, inner inferior, inner nasal, outer superior, outer temporal, outer inferior, and outer nasal sectors. The central area was 1 mm in diameter, while the inner and outer rings had 3 mm and 6 mm outer diameters, respectively. The segmentation is shown in Figure 1.

### Statistical analysis

IBM SPSS Statistics Program V.26.0 (SPSS,

Chicago, Illinois, USA) was used for data analysis. Mean imputation was used for partly missing data. For patients with data from both eyes available, one eye was selected randomly to enter the final analyses. Data normality was assessed *via* the Kolmogorov-Smirnov test. Continuous variables were compared using the t test, Mann-Whitney *U* test, or Kruskal-Wallis test accordingly. Categorical variables were compared using Pearson's chi-square test. Multiple linear regression was employed to analyze the correlations between retinal parameters and kidney function, and to compare neurovascular measurements between the HC and different CKD groups. Confounding factors including age, sex, smoking status, hypertension and cardiovascular diseases were adjusted using multiple linear regression when analyzing the CKD group, while age and sex were adjusted when comparing between healthy controls and the CKD group. To distinguish CKD stages, logistic regression was utilized. The diagnostic efficacy was demonstrated by the receiver operating characteristics (ROC) curve and the area under the curve (AUC).<sup>[30]</sup> To evaluate the performance of logistic regression models, k-fold cross-validation ( $k=5$ ) was performed with R 4.1.1 (R Core Team, 2021). A  $P < 0.05$  was considered statistically significant across all analyses. Bonferroni correction was applied in multiple comparisons.

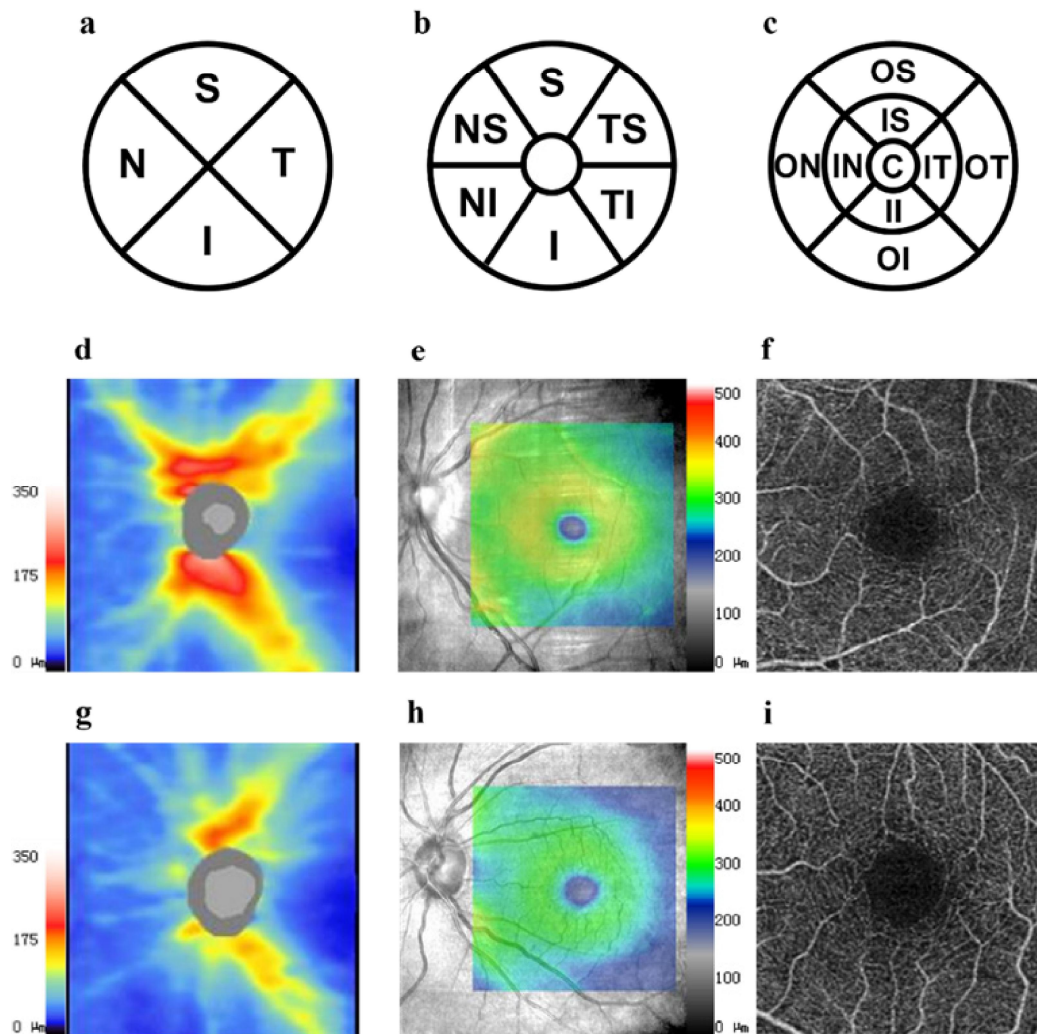
## RESULTS

### Demographic data

A total of 131 patients and 62 healthy controls were included in the data analysis. The CKD patients were further stratified into the early CKD group and advanced CKD group, comprising 48 and 83 patients, respectively. The average age of the patient group was 46.32 years, with 52.7% being men. The average age of the healthy control group was 42.15 years, with 43.5% being men. Additional demographic data are shown in Table 1. The causes of CKD are summarized in Supplementary Table S1. The overview of study participants, retinal parameters and primary outcomes is depicted in Figure 2.

### Retinal neural structure and microvasculature were correlated in CKD patients

The correlation between neural parameters



**Figure 1** Neurovascular parameters measured using OCT and OCTA

(a, b, c) Segmentation of pRNFL, GC-IPL, and VD and PD respectively as in the left eye. (d, e, f) The pRNFL thickness map, GC-IPL thickness map, and OCTA images of the left eye of a healthy individual. (g, h, i) The pRNFL thickness map, GC-IPL thickness map, and OCTA images of the left eye of a patient with CKD stage 5. Significantly thinner pRNFL and CG-IPL, as well as decreased vessel density can be observed in the CKD patient. S, superior; T, temporal; I, inferior; N, nasal; TS, temporal superior; TI, temporal inferior; NI, nasal inferior; NS, nasal superior; OS, outer superior; OT, outer temporal; OI, outer inferior; ON, outer nasal; IS, inner superior; IT, inner temporal; II, inner inferior; IN, inner nasal; C, central. CKD, chronic kidney disease; pRNFL, peripapillary retinal nerve fiber layer; GC-IPL, macular ganglion cell-inner plexiform layer; VD, vessel density; PD, perfusion density; OCT, optical coherence tomography; OCTA, optical coherence tomography angiography.

and average VD and average PD is presented in Supplementary Table S2. Following adjustment for sex, age, smoking status, hypertension and cardiovascular diseases using multiple linear regression, average pRNFL and the inferior sector of pRNFL exhibited a significant correlation with VD (average:  $\beta = 0.0508$ ,  $P_{adjusted} = 0.033$ ; inferior:  $\beta = 0.327$ ,  $P_{adjusted} = 0.019$ ) and PD (average:  $\beta = 0.0013$ ,  $P = 0.042$ ; inferior:  $\beta = 0.0008$ ,  $P = 0.025$ ). Average GC-IPL thickness was positively correlated with

VD ( $\beta = 0.0902$ ,  $P_{adjusted} < 0.001$ ) and PD ( $\beta = 0.0024$ ,  $P_{adjusted} < 0.001$ ). GC-IPL thickness in all other sectors were also found positively correlated with VD and PD, with  $P_{adjusted}$  lesser than 0.05 after Bonferroni correction.

### Retinal neurovascular parameters reflected kidney function deterioration

eGFR serves as a commonly utilized parameter for assessing kidney function in CKD patients.<sup>[31]</sup> As shown in Figure 3, there was no significant relationship between

eGFR and retinal neural parameters after Bonferroni correction of  $P$  values. Regarding vascular parameters, eGFR was significantly correlated with central VD ( $\beta = 0.0213$ ,  $P_{adjusted} = 0.0365$ ) and VD in all inner sectors with  $P_{adjusted} < 0.01$ . Kidney function showed significant correlations with average PD ( $\beta = 0.0005$ ,  $P_{adjusted} = 0.0203$ ) and central PD ( $\beta = 0.0005$ ,  $P_{adjusted} = 0.0254$ ), as well as PD in all inner sections and the superior outer grid. FAZ area showed a nominal correlation with eGFR ( $\beta = 0.0006$ ,  $P = 0.0371$ ).

### Structural and angiographic parameters significantly decreased in CKD patients

The comparison of neurovascular measurements between the HC and different CKD groups was performed with multiple linear regression models to adjust for sex and age, as shown in Table 2 and Table 3. Among all OCT parameters, the average ( $\beta = -6.725$ ,  $P_{adjusted} < 0.001$ ), temporal ( $\beta = -8.144$ ,  $P_{adjusted} < 0.001$ ) and nasal pRNFL ( $\beta = -8.188$ ,  $P_{adjusted} < 0.001$ ), as well as GC-IPL in all sectors except for nasal superior showed a global decreasing trend with the presence of CKD. All VD and PD variables, and FAZ circularity ( $\beta = -0.078$ ,  $P_{adjusted} < 0.001$ ) were found significantly decreased in CKD patients.

When comparing early CKD with HC, temporal ( $\beta = -8.371$ ,  $P_{adjusted} = 0.009$ ) and nasal pRNFL thickness ( $\beta = -8.552$ ,  $P_{adjusted} = 0.009$ ) were related with CKD. No significant correlation was found between GC-IPL parameters and early CKD. Decrease in VD of all but inner nasal sectors, and PD of all but inner temporal, inferior and nasal sectors were found to be associated with early CKD compared with HC.

Further comparison between early and advanced CKD after adjusting for age, sex, smoking status, hypertension and cardiovascular diseases showed that no pRNFL parameters were associated with disease progression. Advanced CKD was correlated with decrease in all GC-IPL parameters, however the  $P$  values were insignificant after adjustment. On the other hand, average VD ( $\beta = -1.422$ ,  $P_{adjusted} = 0.005$ ) and all sectors except outer inferior and outer nasal VD, along with average PD ( $\beta = -0.041$ ,  $P_{adjusted} = 0.0008$ ) and PD of all but outer nasal sectors decreased significantly as CKD progressed.

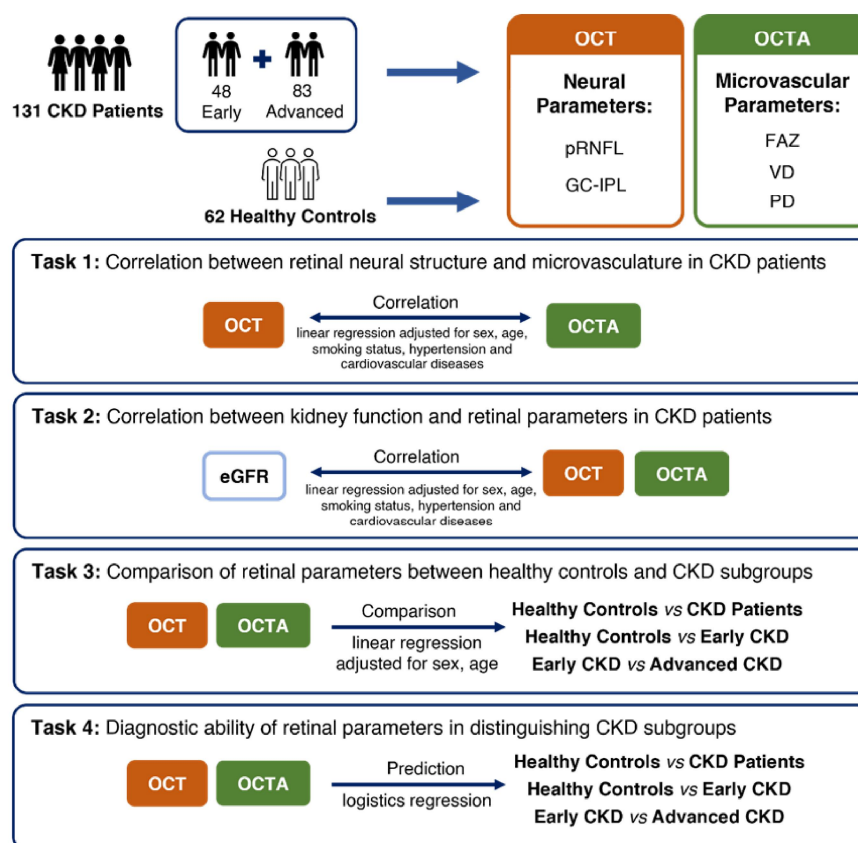
### Diagnostic potential of retinal neurovascular parameters on CKD stages

We applied logistic regression analysis to determine the diagnostic performance of the OCT and OCTA

**Table 1 Demographic data of the CKD group and the healthy control group**

Demographic data	Healthy Control	Early CKD	Advanced CKD	$P$ value
Patients/ $n$	62	48	83	
Age (year)	40.50 (25.25)	37.87(19.80)	48.00 (20.00)	0.004
Male (%)	27 (43.5)	20 (41.7)	49 (59.0)	0.079
Serum creatinine ( $\mu\text{mol/L}$ )	-	78.840 (24.229)	893.435 (353.338)	<0.001
eGFR ( $\text{mL}/\text{min}/1.73 \text{ m}^2$ )	-	95.833 (19.407)	12.035 (13.925)	<0.001
Smoking (%)	-	1 (2.08)	11 (13.25)	0.132
Hypertension (%)	-	6 (12.50)	31 (37.35)	0.152
Cardiovascular Diseases (%)	-	1(2.08)	5 (6.02)	0.299

Age was represented as median (IQR) and was analyzed using the Kruskal–Wallis test. Sex, smoking status, hypertension and cardiovascular diseases were represented as number (percentage) and analyzed by Pearson's chi-square test. BUN, serum creatinine, and eGFR were compared with the Mann–Whitney  $U$  test and are represented as the median (IQR). The bold values indicate statistically significant  $P$  values ( $P < 0.05$ ). CKD, chronic kidney disease; eGFR, estimated glomerular filtration rate; SD, standard deviation; IQR, interquartile range.



**Figure 2 Overview of study participants, retinal parameters and primary outcomes**

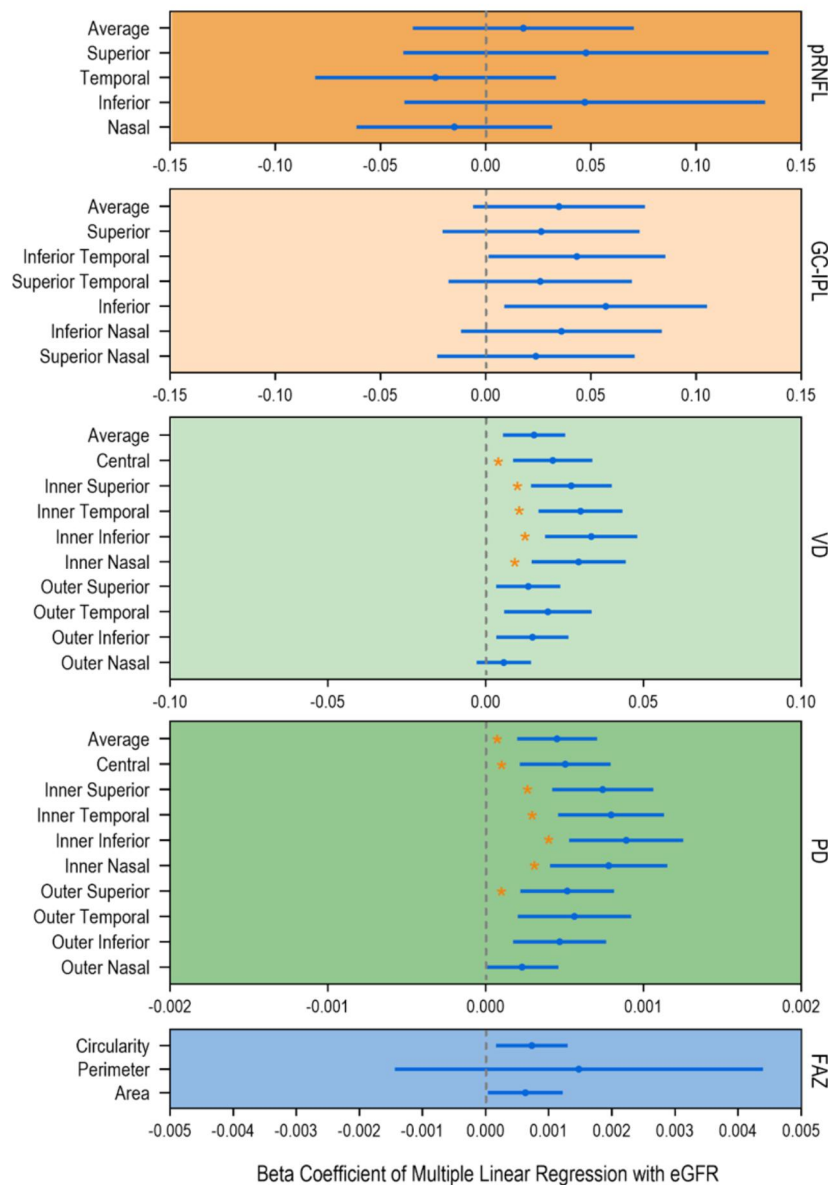
CKD, chronic kidney disease; OCT, optical coherence tomography; OCTA, optical coherence tomography angiography; pRNFL, peripapillary retinal nerve fiber layer; GC-IPL, macular ganglion cell-inner plexiform layer; FAZ, foveal avascular zone; VD, vessel density; PD, perfusion density; eGFR, estimated glomerular filtration rate.

results.<sup>[30]</sup> Parameters significantly associated with each intergroup statistical analyzes were selected to enter the logistic regression models. To distinguish CKD patients from HC, the model achieved a mean AUC of 0.853 (0.795 to 0.910). The model differentiating early CKD from HC achieved a mean AUC of 0.739 (0.643 to 0.834), and the model differentiating early and advanced CKD had a mean AUC of 0.800 (0.723 to 0.877). The mean accuracies of the three models were 78.7%, 70.0%, and 73.3%, respectively. The ROC curves are shown in Figure 4. The results of the ROC analysis are presented in Supplementary Table S3.

## DISCUSSION

The retina has been proven to be an easily accessible window for observing systemic diseases, such as cardiovascular diseases,<sup>[32]</sup> diabetes,<sup>[33]</sup> and

CKD.<sup>[14]</sup> With the development of fast and non-invasive examination methods such as OCT and OCTA, quantitative analysis of retinal structure emerges as an innovative approach for monitoring microvasculature changes in the retina. Consequently, it offers a novel avenue for accessing systemic pathologies. In this study, we identified correlations between kidney function and retinal neurovascular changes in nondiabetic CKD patients. Furthermore, we demonstrated the pattern of neurovascular deterioration in different stages of the disease as compared with healthy controls. Our results also proved the diagnostic value of OCT and OCTA parameters in distinguishing HC, early, and advanced CKD with logistic regression models. Overall, these results suggest that retinal neurovascular changes may reflect the occurrence and progression of nondiabetic CKD, underscoring the importance of retinal non-invasive examinations in CKD management.



**Figure 3 Correlation between eGFR and retinal neurovascular parameters in CKD patients**

\*  $P_{adjusted} < 0.05$ ; Multiple linear regression models were applied with each parameter. Sex, age, smoking status, hypertension and cardiovascular diseases were covariates in the models. eGFR, estimated glomerular filtration rate; pRNFL, peripapillary retinal nerve fiber layer; GC-IPL, macular ganglion cell-inner plexiform layer; VD, vessel density; PD, perfusion density; FAZ, foveal avascular zone.

Retinal neural and vascular parameters showed a significant positive correlation in nondiabetic CKD patients. The thinning of retinal GC-IPL was associated with the decrease of VD and PD in the superficial vascular plexus (SVP) microvasculature, which primarily aligns with the GC-IPL.<sup>[34]</sup> First discussed in reference to the central nervous system, the term "neurovascular unit" was coined to describe the interrelationship of neuronal, glial, and vascular cells, which together regulate neuronal

activities<sup>[35]</sup> and microvascular permeability.<sup>[36]</sup> The retina as a component of the central nervous system also shares similar traits. The dysfunction of either neural or vascular components could cause disturbance in the physiology of the retina, and neurovascular unit defects were reported to contribute to the pathology of diabetic retinopathy.<sup>[37-38]</sup> Our results showed that similar alterations could be present in nondiabetic CKD patients, implying that the retinal pathologies in CKD patients could be a common

**Table 2** Retinal neural parameters compared between the healthy controls and the different CKD groups

Quadrants	Healthy Control (n=62)	CKD Group (n=131)	Early CKD (n=48)	Advanced CKD (n=83)	$\beta^{\dagger}$ (95% CI)	$\beta^{\ddagger}$ (95% CI)	$\beta^{\S}$ (95% CI)
<b>pRNFL (<math>\mu\text{m}</math>)</b>							
Average	101.629 $\pm$ 8.950	94.413 $\pm$ 10.450	96.396 $\pm$ 9.385	93.307 $\pm$ 10.522	-6.725 (-9.782, 3.667)	-5.238 (-8.754, 1.722)	-2.226 (-6.117, 1.666)
Superior	127.758 $\pm$ 16.015	122.953 $\pm$ 17.605	126.438 $\pm$ 16.523	120.678 $\pm$ 17.521	-3.689 (-8.891, 1.513)	-1.369 (-7.549, 4.811)	-3.621 (-10.075, 2.833)
Temporal	76.774 $\pm$ 11.274	68.151 $\pm$ 11.205	68.417 $\pm$ 11.605	68.635 $\pm$ 11.208	-8.144 (-11.593, -4.694)	-8.371 (-12.741, -4.002)	0.561 (-3.706, 4.828)
Inferior	130.145 $\pm$ 17.615	122.142 $\pm$ 17.008	126.500 $\pm$ 13.781	119.682 $\pm$ 17.558	-7.545 (-12.837, -2.253)	-3.611 (-9.768, 2.546)	-6.336 (-12.647, -0.025)
Nasal	72.177 $\pm$ 12.599	64.024 $\pm$ 9.079	63.625 $\pm$ 10.332	64.023 $\pm$ 8.089	-8.188 (-11.378, -4.997)	-8.552 (-13.037, -4.068)	1.047 (-2.407, 4.501)
<b>GC-IPL (<math>\mu\text{m}</math>)</b>							
Average	85.226 $\pm$ 5.308	80.312 $\pm$ 8.184	83.340 $\pm$ 5.490	78.347 $\pm$ 8.980	-4.542 (-6.805, -2.278)	-1.855 (-3.893, 0.183)	-4.028 (-7.017, -1.039)
Superior	85.919 $\pm$ 5.795	81.219 $\pm$ 9.360	84.123 $\pm$ 5.903	78.877 $\pm$ 11.381	-4.224 (-6.786, -1.662)	-1.789 (-4.032, 0.453)	-3.539 (-6.986, -0.092)
Temporal superior	83.419 $\pm$ 5.290	78.925 $\pm$ 8.647	81.349 $\pm$ 5.754	77.057 $\pm$ 10.244	-4.127 (-6.489, -1.765)	-2.031 (-4.083, 0.020)	-3.089 (-6.301, 0.124)
Temporal inferior	84.613 $\pm$ 5.475	79.876 $\pm$ 8.475	83.103 $\pm$ 5.617	77.867 $\pm$ 9.101	-4.454 (-6.800, -2.108)	-1.447 (-3.473, 0.580)	-4.461 (-7.543, -1.378)
Inferior	83.371 $\pm$ 5.611	77.322 $\pm$ 9.628	80.995 $\pm$ 5.742	75.589 $\pm$ 10.961	-5.847 (-8.49, -3.205)	-2.360 (-4.532, -0.188)	-5.614 (-9.142, -2.086)
Nasal inferior	86.29 $\pm$ 5.579	81.082 $\pm$ 9.583	84.297 $\pm$ 5.954	79.291 $\pm$ 10.584	-4.720 (-7.320, -2.120)	-1.964 (-4.134, 0.205)	-4.13 (-7.639, -0.62)
Nasal superior	87.871 $\pm$ 5.841	83.402 $\pm$ 9.391	86.275 $\pm$ 6.127	81.267 $\pm$ 10.615	-4.006 (-6.580, -1.432)	-1.565 (-3.829, 0.700)	-3.513 (-6.964, -0.062)

$\dagger$  Comparison between HC and all CKD.  $\ddagger$  Comparison between HC and early CKD.  $\S$  Comparison between early and advanced CKD.

The retinal neural parameters were represented as mean  $\pm$  SD. A linear regression model was applied to adjust for age and sex, and smoking status, hypertension, and cardiovascular diseases were also adjusted when comparing early and advanced CKD. The bold values indicate statistically significant after Bonferroni correction ( $P_{adjusted} < 0.05$ ). SD, standard deviation; CKD, chronic kidney disease; pRNFL, peripapillary retinal nerve fiber layer; GC-IPL, macular ganglion cell-inner plexiform layer; HC, healthy control.

consequence of neurovascular dysfunction.

The eGFR, calculated using the CKD-EPI equation, is widely accepted as a credible biomarker of kidney function.<sup>[28]</sup> Our study revealed a significant relationship between kidney function and retinal manifestations after adjustment for sex and age. Despite having a limited correlation with neural parameters, eGFR was significantly associated with the inner sections of VD and PD, which cover the foveal and parafoveal regions.<sup>[39]</sup> This result is consistent with previous studies focusing

on diabetic CKD patients.<sup>[21]</sup> However, VD and PD of the outer sections and the FAZ parameters showed no significant correlation with kidney function after adjustment for multiple comparisons. This result indicated that retinal microvascular conditions in the foveal and parafoveal areas could serve as a better indicator of kidney dysfunction than neural parameters in nondiabetic CKD patients.

When comparing HC and different CKD stages, our findings revealed distinct patterns of retinal

**Table 3 Retinal microvascular parameters compared between the healthy controls and the different CKD groups**

Quadrants	Healthy Control (n=62)	CKD Group (n=131)	Early CKD (n=48)	Advanced CKD (n=83)	$\beta^{\dagger}$ (95% CI)	$\beta^{\ddagger}$ (95% CI)	$\beta^{\S}$ (95% CI)
<b>Vessel density (mm<sup>-1</sup>)</b>							
Average	18.308 ± 0.840	16.007 ± 2.149	17.094 ± 1.262	15.285 ± 2.347	-2.107 (-2.648, -1.566)	-1.217 (-1.606, -0.828)	-1.670 (-2.600, -0.741)
Central	8.795 ± 2.415	6.036 ± 2.566	7.102 ± 2.251	5.351 ± 2.605	-2.659 (-3.433, -1.884)	-1.689 (-2.574, -0.804)	-1.422 (-2.141, -0.703)
Inner superior	18.423 ± 1.032	15.903 ± 2.802	17.542 ± 1.415	14.774 ± 3.066	-2.346 (-3.065, -1.627)	-0.878 (-1.341, -0.414)	-2.339 (-3.271, -1.408)
Inner temporal	18.253 ± 1.319	15.612 ± 2.884	17.325 ± 1.290	14.542 ± 3.084	-2.502 (-3.261, -1.743)	-0.928 (-1.427, -0.430)	-2.666 (-3.622, -1.709)
Inner inferior	18.248 ± 1.174	15.433 ± 3.185	17.250 ± 1.759	14.307 ± 3.361	-2.672 (-3.497, -1.847)	-0.999 (-1.555, -0.442)	-2.819 (-3.883, -1.755)
Inner nasal	18.226 ± 1.417	15.554 ± 3.160	17.277 ± 1.763	14.505 ± 3.352	-2.484 (-3.311, -1.657)	-0.950 (-1.553, -0.347)	-2.514 (-3.605, -1.424)
Outer superior	18.745 ± 0.738	16.483 ± 2.251	17.585 ± 1.166	15.733 ± 2.572	-2.012 (-2.558, -1.466)	-1.166 (-1.515, -0.816)	-1.280 (-2.024, -0.536)
Outer temporal	17.527 ± 1.492	14.971 ± 2.951	16.277 ± 1.847	14.182 ± 3.140	-2.329 (-3.101, -1.557)	-1.251 (-1.869, -0.633)	-1.742 (-2.763, -0.721)
Outer inferior	18.479 ± 1.030	16.173 ± 2.457	17.185 ± 1.571	15.534 ± 2.649	-2.107 (-2.735, -1.480)	-1.297 (-1.776, -0.817)	-1.314 (-2.155, -0.473)
Outer nasal	19.915 ± 0.743	18.563 ± 1.823	19.165 ± 1.202	18.092 ± 2.118	-1.163 (-1.615, -0.711)	-0.754 (-1.107, -0.400)	-0.611 (-1.251, 0.029)
<b>Perfusion density</b>							
Average	0.448 ± 0.021	0.386 ± 0.056	0.417 ± 0.032	0.367 ± 0.060	-0.057 (-0.071, -0.043)	-0.031 (-0.041, -0.021)	-0.039 (-0.060, -0.018)
Central	0.197 ± 0.056	0.131 ± 0.059	0.156 ± 0.052	0.116 ± 0.060	-0.063 (-0.081, -0.045)	-0.041 (-0.061, -0.020)	-0.041 (-0.059, -0.022)
Inner superior	0.441 ± 0.028	0.376 ± 0.071	0.419 ± 0.037	0.346 ± 0.076	-0.061 (-0.080, -0.043)	-0.022 (-0.034, -0.010)	-0.064 (-0.087, -0.041)
Inner temporal	0.430 ± 0.034	0.366 ± 0.073	0.410 ± 0.033	0.338 ± 0.078	-0.061 (-0.080, -0.042)	-0.020 (-0.033, -0.007)	-0.070 (-0.094, -0.046)
Inner inferior	0.434 ± 0.031	0.363 ± 0.080	0.411 ± 0.046	0.334 ± 0.083	-0.067 (-0.088, -0.047)	-0.024 (-0.038, -0.009)	-0.074 (-0.100, -0.048)
Inner nasal	0.428 ± 0.036	0.363 ± 0.079	0.406 ± 0.043	0.336 ± 0.084	-0.061 (-0.082, -0.041)	-0.022 (-0.037, -0.007)	-0.065 (-0.092, -0.037)
Outer superior	0.467 ± 0.020	0.407 ± 0.065	0.442 ± 0.049	0.384 ± 0.068	-0.054 (-0.070, -0.038)	-0.025 (-0.038, -0.012)	-0.046 (-0.067, -0.024)
Outer temporal	0.431 ± 0.040	0.362 ± 0.076	0.397 ± 0.051	0.340 ± 0.080	-0.064 (-0.084, -0.043)	-0.034 (-0.051, -0.017)	-0.048 (-0.074, -0.021)
Outer inferior	0.462 ± 0.027	0.395 ± 0.064	0.425 ± 0.042	0.377 ± 0.067	-0.062 (-0.078, -0.046)	-0.037 (-0.050, -0.024)	-0.041 (-0.063, -0.020)
Outer nasal	0.486 ± 0.019	0.449 ± 0.049	0.467 ± 0.030	0.436 ± 0.057	-0.032 (-0.044, -0.020)	-0.019 (-0.028, -0.010)	-0.020 (-0.037, -0.003)
<b>Foveal avascular zone</b>							
Area (mm <sup>2</sup> )	0.297 ± 0.103	0.265 ± 0.125	0.305 ± 0.116	0.245 ± 0.124	-0.028 (-0.063, 0.008)	0.007 (-0.034, 0.049)	-0.055 (-0.099, -0.011)
Perimeter (mm)	2.209 ± 0.438	2.183 ± 0.594	2.292 ± 0.483	2.120 ± 0.644	-0.007 (-0.174, 0.159)	0.081 (-0.092, 0.254)	-0.13 (-0.346, 0.087)
Circularity	0.750 ± 0.075	0.668 ± 0.114	0.709 ± 0.942	0.644 ± 0.118	-0.078 (-0.110, -0.047)	-0.041 (-0.073, -0.008)	-0.065 (-0.106, -0.023)

† Comparison between HC and all CKD. ‡ Comparison between HC and early CKD. § comparison between early and advanced CKD.

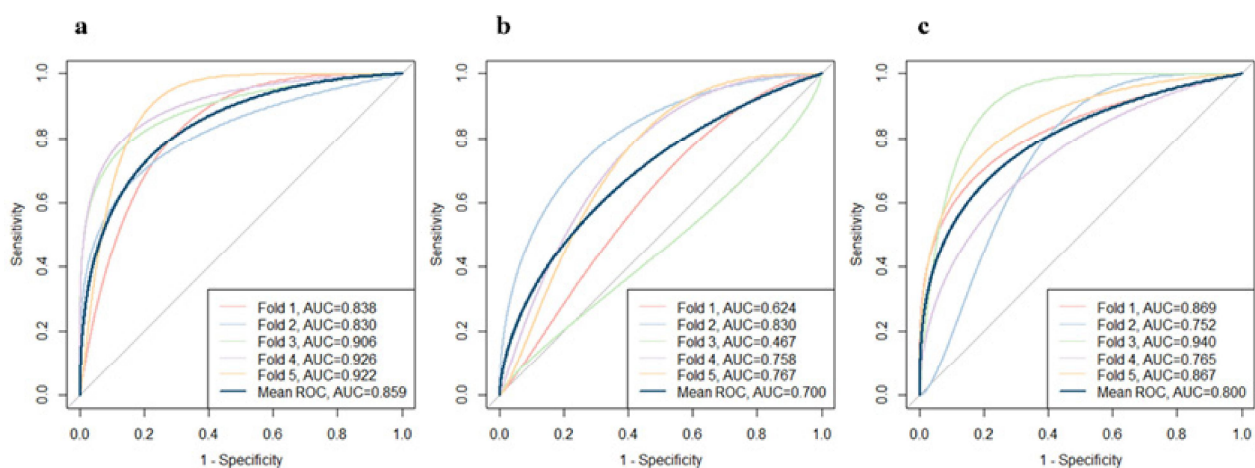
The retinal vascular parameters were represented as mean ± SD. A linear regression model was applied to adjust for age and sex, and smoking status, hypertension, and cardiovascular diseases were also adjusted when comparing early and advanced CKD. The bold values indicate statistically significant after Bonferroni correction (P-adjusted < 0.05). CKD, chronic kidney disease; HC, healthy control.

neurovascular defects in patients of different stages. OCT results revealed a collective decrease in pRNFL and GC-IPL thickness in CKD patients, with the thinning of pRNFL marked the onset and the thinning of GC-IPL indicated disease aggravation. Prior studies showed a higher prevalence of various optic neuropathies in CKD patients,<sup>[40]</sup> namely uremic optic neuropathy, ischemic optic neuropathy, and complications associated with drug use or infections,<sup>[41]</sup> which could contribute to defects in the neural structure of the retina. As the thinning of the pRNFL and the GC-IPL reflects axon loss and neuron loss of the retinal ganglion cells respectively,<sup>[42]</sup> it could be deduced that axonal changes are antecedent in the course of CKD. Moreover, the decrease in pRNFL thickness was only significant in the temporal and nasal sectors. We hypothesize that this phenomenon may be associated with the anatomical features of the parapapillary area, as the temporal and nasal sectors are characterized by relatively sparse capillaries in healthy subjects.<sup>[43]</sup> Consequently, due to the absence of reserve capacity, these quadrants may be more susceptible to vascular pathologies in CKD, leading to the specific pattern of pRNFL thinning observed in the early stages of the disease.

A significant reduction in VD and PD of the SVP was also observed in CKD patients. The SVP is directly connected to the central retinal artery and supplies all other retinal vascular plexuses through

vertical anastomoses,<sup>[44]</sup> therefore, its condition could faithfully reflect the retinal microcirculation.<sup>[34]</sup> Yeung et al.<sup>[20]</sup> previously found that VD in the parafoveal SVP was significantly decreased in CKD patients in comparison with healthy control subjects. Similarly, Wang et al.<sup>[21]</sup> studied type 2 diabetes mellitus (T2DM) patients and discovered a reduced VD in subjects with CKD. In line with previous studies, our study showed a decrease in retinal vascular density in nondiabetic CKD patients, indicating that vascular function deterioration is independent of diabetic status and could be present in CKD of other causes. Endothelin-1 was identified as a predictor of vascular dysfunction in CKD,<sup>[45]</sup> which could present as sparse capillaries, reduction in branching, and an increase in tortuosity.<sup>[46]</sup> Although the role of endothelin in diabetic CKD has been well-established, recent research has also unveiled a significant correlation between endothelin and the pathogenesis of nondiabetic CKD,<sup>[47-49]</sup> hinting at the possible mechanism of retinal microvascular deterioration in the absence of diabetes. Additionally, the decline in VD and PD in the perifoveal region preceded that of the parafoveal sections. We hypothesize that the parafoveal microcirculation is reserved in the early stages of CKD for it is crucial to satisfy foveal metabolic and functional needs.<sup>[39]</sup>

Interestingly, nondiabetic CKD patients did not show significant increase in FAZ area, which is a widely proven characteristic of diabetic patients<sup>[44,50-51]</sup> and was



**Figure 4** Diagnostic performance of retinal parameters represented by ROC curves

ROC curves showing the diagnostic value of retinal parameters in (a) distinguishing CKD from healthy controls; (b) distinguishing early CKD and healthy controls; (c) distinguishing advanced and early CKD. AUC, area under the curve; CKD, chronic kidney disease; ROC, receiver operating characteristic curve.

also found presence in CKD patients.<sup>[52]</sup> On the other hand, nondiabetic CKD patients showed decreased FAZ circularity compared with HC, a characteristic consistent with diabetic patients<sup>[24,53]</sup> and CKD patients of undefined causes.<sup>[20]</sup> These results indicated that FAZ enlargement previously found in CKD patients could be caused by diabetes instead of primary kidney dysfunction, and that FAZ acircularity could be a more sensitive marker to reflect CKD pathologies in the retina.

Based on the statistical results, we further examined the capacity of OCT and OCTA parameters to diagnose and stratify nondiabetic CKD through logistic regression models. Our results showed that retinal neurovascular parameters could reach an AUC of 0.853 in distinguishing CKD from HC, and reach an AUC of 0.800 in stratifying CKD patients. We believe that this is the first work to show the clinical diagnostic competency of retinal neurovascular characteristics obtained by OCT and OCTA in nondiabetic CKD patients. Considering these discoveries, we suggest that OCTA parameters could serve as valuable biomarkers in CKD screening and diagnosis.

There are several limitations in the present study. The primary constraint is the cross-sectional nature of the data. We did not clarify the chronological order of retinal neurovascular alterations and kidney damage in our study, thus lacking sufficient evidence to pinpoint the causal relationship between vascular injuries and kidney dysfunction. It is imperative to recruit a larger prospective cohort in future studies to collect longitudinal data and analyse the predictive value of retinal parameters in CKD patients. Secondly, the relatively small sample size also constrained the generalization of our results. Future study should include more diverse participants to testify the validity of our conclusions in different populations. Lastly, given the complex etiology of nondiabetic CKD, it is desirable to expand the sample size and conduct further subgroup analyses targeting different primary kidney diseases in the future.

In summary, this research discovered distinct retinal neurovascular alterations in nondiabetic CKD patients assessed by OCT and OCTA. Retinal parameters exhibited significant correlations with kidney function, and deterioration of the retinal neurovascular structure was evident in both early and advanced CKD patients. In

addition, the selected OCT and OCTA parameters were valuable in distinguishing CKD patients from healthy controls and in stratifying early and advanced patients. Our research addresses a gap in the current literature regarding retinal pathology in nondiabetic CKD patients. Additionally, our findings highlight the credibility of OCT and OCTA as non-invasive methods for screening and longitudinally managing nondiabetic CKD in both clinical and research settings.

### **Correction notice**

None

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### Conflict of Interests

None of the authors has any conflicts of interest to disclose. All authors have declared in the completed the ICMJE uniform disclosure form.

### Patient consent for publication

Written informed consent was obtained from all participants.

### Ethical Statement

The study was approved by the Ethics Committee of the Third Affiliated Hospital of Sun Yat-sen University, and Zhongshan Ophthalmic Center, Sun Yat-sen University(2019KYPJ163). It was conducted in accordance with the tenets of the Declaration of Helsinki. Written informed consent was obtained from all participants.

### Provenance and Peer Review

This article was a standard submission to our journal. The article has undergone peer review with our anonymous review system.

### Data Sharing Statement

None

### Open Access Statement

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## Supplemental material

**Table S1 Causes of CKD**

Kidney Disease Diagnosis	n(%)
Glomerular Diseases	112 (85.5)
Immunoglobulin A Nephropathy (IgAN)	21 (16.0)
Membranous Nephropathy	8 (6.1)
Minimal Change Disease	5 (3.8)
Focal Segmental Glomerulosclerosis (FSGS)	3 (2.3)
Infection-related Glomerulonephritis	2 (1.5)
Membranoproliferative Glomerulonephritis	2 (1.5)
Lupus Nephritis	3 (2.3)
Unknown	68 (51.9)
Obstructive Causes	9 (6.9)
Unknown Causes	10 (7.6)
Inferior	83.371 ± 5.611
Nasal inferior	86.29 ± 5.579
Nasal superior	87.871 ± 5.841

The number of patients diagnosed with each disease is presented as *n*.

**Table S2 Correlation between average OCT and OCTA parameters in CKD patients**

Quadrants	$\beta^{\dagger}$ (95% CI)	$P_{adjusted}^{\ddagger}$	$\beta^{\dagger}$ (95% CI)	$P_{adjusted}^{\ddagger}$
pRNFL ( $\mu\text{m}$ )				
Average	0.050 8 (0.017 9, 0.083 7)	0.033	0.001 3 (0.000 4, 0.002 1)	0.042
Superior	0.021 3 (0.001 1, 0.041 6)	0.464	0.000 6 (3.78E-05, 0.001 1)	0.429
Temporal	0.035 2 (0.004, 0.066 4)	0.331	0.000 9 (4.63E-05, 0.001 7)	0.461
Inferior	0.032 7 (0.012 7, 0.052 6)	0.019	0.000 8 (0.000 3, 0.001 3)	0.025
Nasal	0.027 1 (-0.011 2, 0.065 4)	1.967	0.000 7 (-0.000 3, 0.001 6)	2.320
GC-IPL ( $\mu\text{m}$ )				
Average	0.090 2 (0.049 9, 0.130 5)	<0.001	0.002 4 (0.001 4, 0.003 4)	<b>&lt;0.001</b>
Superior	0.060 0 (0.023 4, 0.096 5)	0.018	0.001 6 (0.000 7, 0.002 6)	0.010
Superior Temporal	0.071 9 (0.032 9, 0.110 8)	0.005	0.001 9 (0.000 9, 0.002 9)	0.002
Inferior temporal	0.096 3 (0.058 1, 0.134 5)	<0.001	0.002 5 (0.001 6, 0.003 5)	<b>&lt;0.001</b>
Inferior	0.069 0 (0.034 7, 0.103 2)	0.001	0.001 9 (0.001, 0.002 7)	0.001
Inferior nasal	0.073 4 (0.038 6, 0.108 2)	0.001	0.002 0 (0.001 1, 0.002 8)	<b>&lt;0.001</b>
Superior nasal	0.063 1 (0.026 7, 0.099 4)	0.010	0.001 7 (0.000 8, 0.002 6)	0.006

$\dagger$ Multiple linear regression with average VD.  $\ddagger$ Multiple linear regression with average PD. A linear regression model was applied in all subjects. Sex, age, smoking status, hypertension and cardiovascular diseases were covariates entered into the model. The bold values indicate statistically significant after Bonferroni correction.

**Table S3 Results of logistics regression**

Models	HC vs. CKD	HC vs. Early CKD	Early CKD vs. Advanced CKD
Mean AUC (95% CI)	0.853 (0.795, 0.910)	0.739 (0.643, 0.834)	0.800 (0.723, 0.877)
Sensitivity	0.629	0.751	0.694
Specificity	0.859	0.613	0.776
Accuracy	0.787	0.700	0.733

Five-fold cross validation was applied to testify the models. The AUC of the ROC curves and accuracy were calculated for each fold and the mean value was then calculated.